



# UNITED STATES CAPITOL POLICE

## OFFICE OF INSPECTOR GENERAL



# *Semiannual Report to Congress*

*April 1, 2017 - September 30, 2017*

## United States Capitol Police



### History

Created by Congress in 1828 following an assault on a son of John Quincy Adams in the Capitol Rotunda, the original duty of the United States Capitol Police (USCP or the Department) was to provide security for the United States Capitol Building. Its mission has expanded to provide the congressional community and visitors with a variety of police services. Those services are provided through a variety of specialty support units, network of foot and vehicular patrols, fixed posts, full-time Containment and Emergency Response Team, Canine (K-9) Unit, Patrol/ Mobile Response Division, and full-time Hazardous Devices and Hazardous Materials Sections. USCP had 1,834 sworn and 360 civilian employees as of September 30, 2017.

### Mission

Today's USCP officer has the primary responsibility of protecting life and property; preventing, detecting, and investigating criminal acts; and enforcing traffic regulations throughout a large complex of congressional buildings, parks, and thoroughfares. With the signing of Public Law 112-74, USCP was given jurisdiction over the Capitol Reflecting Pool and Union Square. On June 8, 2017, USCP's jurisdiction was further extended to include the O'Neill Building, resulting from Public Law 114-254, adding to its concurrent jurisdiction with other police agencies, including the United States Park Police and Metropolitan (D.C.) Police, of an area approximately 200 blocks around the Capitol Complex. USCP is also charged with the protection of Members of Congress, officers of Congress, and their families throughout the entire United States, its territories and possessions, and the District of Columbia.

## Office of Inspector General



### History

With Public Law 109-55 (August 2, 2005), Congress directed that the Capitol Police Board appoint an Inspector General (IG) for the Department. The IG was to be appointed without regard to political affiliation and solely on the basis of integrity and demonstrated ability in accounting, auditing, financial analysis, law, management analysis, public administration, or investigations.

On July 12, 2006, the Chairman of the Capitol Police Board swore in the first IG for the Department at USCP Headquarters—the Eney, Chestnut, Gibson Memorial Building in Washington, D.C. On August 11, 2013, Fay F. Ropella began her tenure as the second IG for the Department.

### Mission

The IG is responsible for conducting and supervising audits and investigations relating to the programs and operations of the Department. The Office of Inspector General (OIG) examines, evaluates and, where necessary, critiques programs and operations, recommending ways to carry out departmental responsibilities in the most effective, efficient, and economical manner possible. OIG also audits, investigates, and oversees matters related to Department programs and operations, consistent with applicable laws, regulations, and policies.



## Message from the Inspector General



**I** am pleased to present this Semiannual Report to Congress as Inspector General of the United States Capitol Police (USCP or the Department). This report summarizes work the USCP Office of Inspector General (OIG) initiated and completed from April 1, 2017, through September 30, 2017. The audits, investigations, and special reports we describe illustrate OIG continued efforts for promoting the efficiency and effectiveness of USCP programs and operations.

During this reporting period, Audits issued five reports: *Performance Audit of the United States Capitol Police Compliance with the Federal Employees' Compensation Act*, Report Number OIG-2017-07; *Analysis of the United States Capitol Police Insider Threat Detection Program*, Report Number OIG-2017-08; *Modified Peer Review Report on the Federal Labor Relations Authority Office of Inspector General Audit Function*, Report Number OIG-2017-10; *Performance Audit of the United States Capitol Police Purchase Card Program*, Report Number OIG-2017-11; and *Analysis of the United States Capitol Police Overtime*, OIG-2017-13. At the end of the reporting period, Audits had four ongoing projects—an audit of the financial statements for the period ending September 30, 2017; an audit of the USCP Memorial Fund; an analysis of USCP Monitoring of Internet Waste and Abuse; and a performance audit of USCP Wireless Network and Devices.

Investigations issued two reports: *Follow-up Analysis of United States Capitol Police Controls over Ammunition*, Report Number OIG-2017-09 and *Survey of the United States Capitol Police Containment Emergency Response Team (CERT)*, Report Number OIG-2017-12. At the end of the reporting period and building on its survey results, Investigations had two on-going projects—a detailed assessment of CERT and a performance audit of Specialty Pay Programs. Investigations continued to receive and evaluate Hotline complaints as well as conduct training with USCP personnel, including recruit officer classes. OIG received 53 complaints, initiated 1 investigation, and closed 3 cases during this reporting period.

To ensure that our staff is multifaceted in audits and investigations, OIG provided training in criminal investigations at the Federal Law Enforcement Training Center in Glynco, Georgia. Additionally, investigators completed required continuing professional education requirements for audits. This training has qualified investigative staff to conduct audits or investigations ensuring the most efficient and effective use of our limited resources.

OIG provided correspondence to the Committee on Homeland Security and Governmental Affairs and to the Committee on the Judiciary related to the *Semiannual Report to Congress* for the previous 6-month reporting period.

OIG staff members are fully committed to promoting the efficiency and effectiveness of Department programs and operations. I commend USCP, the Capitol Police Board (Board), and members of the oversight community for their professionalism and devotion to the USCP mission. We thank the officers whose service and sacrifice inspire our goal to achieve excellence through unity.

In closing, we look forward to the continued support of Congress, the Board, the Chief of Police, and the Department as we strive to use our oversight functions to promote accountability, integrity, and efficiency; improve USCP programs and operations; and ensure the most efficient use of taxpayer dollars.

*Fay F. Ropella*

Fay F. Ropella, CPA, CFE  
Inspector General

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## EXECUTIVE SUMMARY

**T**his Semiannual Report is issued pursuant to the provisions of section 5 of the Inspector General (IG) Act of 1978, as amended, and summarizes the activities of the United States Capitol Police (USCP or the Department) Office of Inspector General (OIG) for the period April 1, 2017, through September 30, 2017. During this reporting period and at the close of the fiscal year, OIG directed its available resources toward areas of greatest risk for the Department. The work we completed helped promote the economy, efficiency, effectiveness, and integrity of the Department's programs and operations. The accomplishments for each OIG component are summarized below.



**OIG Audits** issued five reports: *Performance Audit of the United States Capitol Police Compliance with the Federal Employees' Compensation Act*, Report Number OIG-2017-07; *Analysis of the United States Capitol Police Insider Threat Detection Program*, Report Number OIG-2017-08; *Modified Peer Review Report on the Federal Labor Relations Authority Office of Inspector General Audit Function*, Report Number OIG-2017-10; *Performance Audit of the United States Capitol Police Purchase Card Program*, Report Number OIG-2017-11; and *Analysis of the United States Capitol Police Overtime*, Report Number OIG-2017-13. At the end of the reporting period, Audits had four ongoing projects—an audit of the financial statements for the period ending September 30, 2017; an audit of the Memorial Fund; an analysis of USCP monitoring of internet usage for waste and abuse; and a performance audit of USCP wireless network and devices. Audits was also in the process of developing its annual performance plan for Fiscal Year (FY) 2018 and compiling the top management challenges for the Department.



**OIG Investigations** issued two proactive reports: *Follow-up Analysis of United States Capitol Police Controls over Ammunition*, Report Number OIG-2017-09 and *Survey of the United States Capitol Police Containment Emergency Response Team (CERT)*, Report Number OIG-2017-12. Building on the CERT survey results, Investigations had an on-going, detailed assessment of the CERT program and had started an audit of Specialty Pay Programs. Investigations also initiated one investigation and closed three cases.

Throughout this reporting period, the OIG staff continued working with the Department and stakeholders to maintain and foster open and effective relationships. On a wide range of issues relating to the work of OIG and USCP, the OIG staff maintained its active interaction with the Chief of Police (Chief), members of the Capitol Police Board (Board), and Congress through meetings, briefings, and dialogue with members and staff of the Department's authorizing and appropriation committees and subcommittees. In addition, OIG successfully continued with its active outreach and fraud program by presenting briefings to new employees and recruit officer classes. OIG also continued as a contributing participant in the activities of the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and in the legislative branch OIG activities such as regular meetings with other Inspectors General.

## LEGISLATION MONITORED

### **IG reviewed and monitored the following legislation:**

*H.R. 378, Bonuses for Cost-Cutters Act of 2017*

*H.R. 653, Federal Intern Protection Act of 2017*

*H.R. 1062, Geolocation Privacy and Surveillance Act (GPS Act)*

*H.R. 1111, Department of Peacebuilding Act of 2017*

*H.R. 1224, NIST [National Institute of Standards and Technology] Cybersecurity Framework, Assessment, and Auditing Act of 2017*

*H.R. 2028, Further Continuing and Security Assistance Appropriations Act, 2017*

*H.R. 2810, National Defense Authorization Act for Fiscal Year 2018*

*H.R. 2825, Department of Homeland Security Authorization Act*

*H.R. 3470, Geolocation Privacy and Surveillance Act (GPS Act)*

*H.R. 3522, Geospatial Data Act of 2017*

*H.R. 4360, Official Personnel File Enhancement Act*

*H.R. 5920, Whistleblower Protections for Contractors Act*

*H.R. 5922, Saving Federal Dollars Through Better Use of Government Purchase and Travel Cards Act of 2016*

*H.R. 6186, Follow the Rules Act*

*H.R. 6480, Intelligence Authorization Act for Fiscal Year 2017*

*S. 395, Geolocation Privacy and Surveillance Act (GPS Act)*

*S. 576, Follow the Rules Act*

*S. 582, Office of Special Counsel Reauthorization Act of 2017*

*S. 585, Dr. Chris Kirkpatrick Whistleblower Protection Act of 2017*

*S. 1253, Geospatial Data Act of 2017*

*S. 1460, Energy and Natural Resources Act of 2017*

*S. 1616, Saving Federal Dollars Through Better Use of Government Purchase and Travel Cards Act of 2015*

*S. 1761, Intelligence Authorization Act for Fiscal Year 2018*

*S. 2450, Administrative Leave Act of 2016*

*S. 3017, Intelligence Authorization Act for Fiscal Year 2017*

## VALUE ADDED

One method used for measuring our value is tracking the outcome of the recommendations in our reports, as codified in the IG Act of 1978, as amended. Since Agency inception in 2006, OIG has made numerous recommendations for improving programs and operations. While working alongside the Department, during this reporting period OIG added value in several areas, which allowed the Department to make significant progress in bolstering Capitol Complex security, recycling, and resolving open recommendations.

### Bolstering Capitol Complex Security

In several reports—*Analysis of United States Capitol Police Internal Post Assignments*, Report Number OIG-2016-08, dated June 2016 and [REDACTED]

The Chief of Police (Chief) agreed with OIG that USCP could civilianize posts traditionally manned by sworn officers within its Command Center and Communications Section. In a June 29, 2017, Senate Committee on Appropriations Subcommittee on Legislative Branch FY 2018 Budget Hearing, the Chief testified that the Department had reviewed the duties performed by officers that could be converted to civilian and had begun efforts to reassign officers in areas that would better meet operational requirements. In addition, the Chief requested an additional 72 sworn officers and 48 civilians for garage security, pre-screening capabilities, and civilianizing certain positions as part of a multi-year plan to bolster Capitol Complex security. In our report, *Analysis of United States Capitol Police Internal Post Assignments*, OIG estimates the Department could save approximately \$2.2 million in salaries and benefits by replacing sworn officers with civilian personnel.

### Recycling Value

In a previous audit, *Performance Audit United States Capitol Police Controls over Ammunition*, Report Number OIG-2014-03, dated March 2014, OIG recommended the Department recycle spent shell casings generated at the firing range. The Department has fully implemented the recommendation and as of May 8, 2017, that it had received \$22,923 since implementation. In accordance with section 1906, title 2 of the United States Code, the funds go to a USCP general expenses account where they are available during the fiscal year in which the Department receives them as well as the following fiscal year.

## VALUE ADDED (Cont'd)

### Significant Progress in Resolution of Open Recommendations

During this reporting period, the Department continues making progress in closing open recommendations. As the following table reflects, OIG closed 15 previously open recommendations, some of which were older than 6 months. OIG believes that in time the Department will be able to close all of the recommendations that are open.

| Report Title                                                                                                         | Report Number | Recommendations Closed |
|----------------------------------------------------------------------------------------------------------------------|---------------|------------------------|
| <i>Agreed-Upon Procedures of USCP Time and Attendance [REDACTED] System</i>                                          | OIG-2012-04   | 1                      |
| <i>Performance Audit of United States Capitol Police Hazardous Materials Response Team</i>                           | OIG-2014-05   | 1                      |
| <i>Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program</i>                         | OIG-2015-08   | 1                      |
| <i>Performance Audit of the United States Capitol Police's Fiscal Year 2015 Information Security Program</i>         | OIG-2015-10   | 3                      |
| <i>Performance Audit of the United States Capitol Police Public Web Site and Web Applications</i>                    | OIG-2016-05   | 2                      |
| <i>Performance Audit of the United States Capitol Police Mobile Device Program</i>                                   | OIG-2016-10   | 3                      |
| <i>Analysis of the United States Capitol Police Backup and Recovery Capabilities</i>                                 | OIG-2017-06   | 2                      |
| <i>Performance Audit of the United States Capitol Police Compliance with the Federal Employees' Compensation Act</i> | OIG-2017-07   | 1                      |
| <i>Analysis of the United States Capitol Police Insider Threat Detection Program</i>                                 | OIG-2017-08   | 1                      |
|                                                                                                                      |               |                        |
| <b>Total</b>                                                                                                         |               | <b>15</b>              |

Source: OIG generated from its Audit Recommendation Tracking System.

During this reporting period, the Department closed the recommendations from the following four reports:

- *Agreed-Upon Procedures of USCP Time and Attendance [REDACTED] System*, OIG-2012-04
- *Performance Audit of United States Capitol Police Hazardous Materials Response Team*, OIG-2014-05
- *Performance Audit of the United States Capitol Police's Fiscal Year 2015 Information Security Program*, OIG-2015-10
- *Performance Audit of the United States Capitol Police Public Web Site and Web Applications*, OIG-2016-05

# PROACTIVE EFFORTS

## Management Challenges

The *Reports Consolidation Act of 2000* requires that OIGs identify and report each year on the most serious management challenges agencies face. When identifying challenges, we routinely examine audits where corrective actions were not taken, assess ongoing investigative and audit work to identify significant vulnerabilities, and analyze new programs and activities that could pose significant challenges because of range and complexities. We discussed challenges with Department officials and considered comments received. As in previous years, last year we reported on major challenges we believed the most significant management issues facing USCP. We expect to issue the management challenges for the Department in October 2017.

## OIG Annual Performance Plan

On June 12, 2017, to ensure that OIG priorities appropriately considered stakeholder needs, we sought input from the Department, the Board, and our congressional oversight committees in developing our *Annual Performance Plan for FY 2018*. We assessed the nature, scope, and inherent risks of USCP programs and operations. The assessment forms the basis for establishing our strategic and annual performance plans, including objectives and scope of work the OIG will accomplish within a specific period. Our annual performance plan is the OIG “roadmap” for audits, inspections, and investigations, which we plan for each fiscal year to evaluate the Department’s programs and operations. We anticipate issuing the *Annual Performance Plan for FY 2018* during October 2017.

## Outreach Activities

As part of a continued effort in promoting accountability through better awareness of the OIG role, mission, and services, we actively participated in presentations to Department managers and employees as shown in Figures 1 and 2. We believe this initiative to be proactive and vital toward strengthening accountability by providing fraud prevention and awareness training to Department personnel.

Figure 1: The Assistant Inspector General for Investigations conducts training and outreach with recruit officers.



Source: OIG photograph.

Figure 2: The Assistant Inspector General for Audits conducts training and outreach with new employees.



Source: OIG photograph.

## USCP JURISDICTION EXTENDED

Today's USCP officer has the primary responsibility of protecting life and property; preventing, detecting, and investigating criminal acts; and enforcing traffic regulations throughout a large complex of congressional buildings, parks, and thoroughfares. With the signing of Public Law 112-74, the Department took over jurisdiction of the Capitol Reflecting Pool and Union Square. On June 8, 2017, the Department's jurisdiction was extended to include the U.S. House of Representatives Thomas P. O'Neill, Jr. Office Building, which was the result of Public Law 114-254, adding to its concurrent jurisdiction with other police agencies, including the U.S. Park Police and Metropolitan (D.C.) Police. As Figures 3 and 4 show, the O'Neill House Office Building is a congressional office building located near the U.S. Capitol in Washington, D.C. Initially known as "House Annex-1," it was named in the late 1980s after former Speaker of the House Thomas "Tip" O'Neill.

Figure 3: Front Exterior of the United States House of Representatives Thomas P. O'Neill, Jr. Office Building.



Source: Turnerconstruction.com.

Figure 4: Lobby of Thomas P. O'Neill, Jr. House Office Building.



Source: Turnerconstruction.com.

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## AUDITS

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### ***Performance Audit of the United States Capitol Police Compliance with the Federal Employees' Compensation Act (OIG-2017-07)***

The Federal Employees' Compensation Act (FECA) is a compensation program for workers that the Division of Federal Employee Compensation (DFEC) of the Department of Labor (DOL) manages. DFEC is a division of the DOL Office of Workers' Compensation Programs (OWCP). It's a compensation program for Federal employees and their dependents should a worker become injured, ill, or die during performance of assigned duties. Although DOL has primary responsibility for claim adjudication and monitoring, the 2009 DOL Publication CA-810, *Injury Compensation for Federal Employees*, encourages an agency's active management of its workers' compensation program.

At the request of the Chief, OIG conducted a performance audit to determine if the Department adequate internal controls and processes for ensuring compliance with Department policies as well as complied with applicable policies and procedures, laws, regulations, and best practices. The scope included controls, processes, and operations surrounding the Workers' Compensation Program for Fiscal Years (FYs) 2015, 2016, through January 2017.

The assessment concluded that the Department did not have adequate controls and processes for ensuring successful implementation and administration over its compensation program. In addition, policies and procedures did not adequately outline monitoring procedures for the active management of workers' compensation cases. For example, USCP Directive [REDACTED] dated November 19, 2012, did not mirror the requirements listed in the DOL Publication CA-810, which encourages ongoing management of active workers' compensation cases.

DOL bills agencies for FECA benefits paid through a chargeback process. The Office of Human Resources (OHR) at USCP did not, however, track compensation chargeback expenses to the lowest organizational level as DOL Publication CA-810 requires. OHR also did not have adequate procedures for reporting suspected fraud, waste, and abuse to the Department's OIG as USCP and Government Accountability Office (GAO) guidance require. Further, OHR did not retain various DOL forms in employee case files as DOL requires. Inadequate internal controls over the Workers' Compensation Program as well as non-compliance with applicable policies and procedures could have resulted in improper compensation payments. In addition, the Department may not be aware of employees who no longer need benefit payments because they have recovered and may no longer need the benefit.

OIG made five recommendations, and the Department agreed to implement all of the recommendations.

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## AUDITS (Cont'd)

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### ***Analysis of the United States Capitol Police Insider Threat Detection Program (OIG-2017-08)***



OIG conducted an analysis of the USCP Insider Threat Detection Program to determine how effectively the Department protects its information systems, which contain National Security Information and Law Enforcement Sensitive data, from threats posed by employees, especially those with special or elevated access to unclassified information technology systems or information based on their job description function. Our scope included controls, processes, and operations from October 1, 2015, through March 31, 2017.

The Department used elements of its insider threat program, such as automated tools. It also required annual security awareness training to educate employees about threats, and notified employees about real-time issues by sending Department-wide emails about incidents. USCP did not, however, have a comprehensive program for including an overall plan that established policy and assigned responsibilities for its insider threat program—which would have established a secure operating environment for personnel, facilities, information, equipment, networks, or systems from insider threats. In addition, the Office of Information Systems (OIS) did not have a rigorous repeatable process for investigating insider threat alerts and incidents as best practices recommend. Without an insider threat policy and a repeatable process of following up on alerts, the Department may overlook or not properly respond to incidents resulting in potentially exposing sensitive law enforcement information and congressional data.

The Department did not always deactivate employee network accounts in a timely manner. For example, one employee who separated from the Department on January 6, 2017, still had an active network account as of April 24, 2017. Unattended or unmonitored accounts pose a serious risk to the Department because exiting or disgruntled employees could maintain access to the USCP network, possibly enabling unauthorized and unmonitored access. USCP also had an outdated policy about background investigations for newly hired employees. Standard Operating Procedure (SOP) [REDACTED] dated July 25, 2001, was not up to date because it reflects an organizational unit that no longer exists as responsible for performing background investigations. Additionally, the Department did not require that employees and contractors with special or elevated access to USCP networks obtain a security clearance as best practices suggest.

OIG recommended that the Department continue its efforts to establish a comprehensive plan for addressing insider threat controls, processes, and operations. OIG made five recommendations, and the Department agreed to implement all recommendations.

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## AUDITS (Cont'd)

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### ***Modified Peer Review Report on the Federal Labor Relations Authority Office of Inspector General Audit Function (OIG-2017-10)***

*Government Auditing Standards (GAS)* require that audit organizations performing audits or attestation engagements in accordance with GAS must have an appropriate quality control system and undergo external peer reviews at least once every 3 years. CIGIE administers the external peer review program under GAS for Federal OIGs. The program is designed to provide reasonable assurance that the OIG carries out its work in accordance with established policies and procedures, including GAS, applicable Office of Management and Budget, and GAO guidance, and statutory provisions applicable to OIG.



During this period, OIG reviewed established policies and procedures for the audit function of the Federal Labor Relations Authority (FLRA) OIG in effect on March 31, 2017. USCP OIG issued a *Modified Peer Review Report* and *Letter of Comment* on June 30, 2017.

OIG conducted the review in accordance with the CIGIE *Guide for Conducting Peer Reviews of the Audit Organizations of Federal Offices of Inspector General* (September 2014) for assessing established audit policies and procedures. A Modified Peer Review is designed to assess an OIG audit organization whose work conducted in the last 3 years did not include audits and attestation engagements performed in accordance with generally accepted government auditing standards, but the OIG audit organization maintained policies and procedures in anticipation of performing such work.

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## AUDITS (Cont'd)

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### ***Performance Audit of the United States Capitol Police Purchase Card Program (OIG-2017-11)***

The General Services Administration's SmartPay program enables authorized employees to make purchases on behalf of the Federal Government in support of their organization's mission. Within the Federal Government, the purchase card is the preferred method to purchase and pay for micro-purchases under \$3,500.



In accordance with our FY 2017 annual plan, OIG conducted an audit to determine whether the Department established adequate controls over its Purchase Card Program that would ensure compliance with policies and procedures. Our scope included controls, processes, and operations from October 1, 2016, through March 31, 2017. Of 31 authorized purchase cards, 26 card holders used those cards for 1,661 individual transactions valued at more than \$1 million during the audit period.

The audit determined that the Department did not adequately design internal controls and processes in a way that would ensure successful implementation and administration over its Purchase Card Program. The Department's purchase card guidance was outdated and inconsistent and did not provide sufficient monitoring and oversight for compliance. As a result, numerous non-compliance issues were brought to light. Specifically, card holders did not properly document purchase card transactions and ensure compliance with micro-purchase guidance. Of the 45 monthly reconciliation packages tested, 25, or 56 percent, had missing, late, or illegible documentation. And of 45 certifications within the reconciliation packages, 39, or 87 percent, had either missing or late signatures and dates. Card holders also exceeded individual and monthly purchase limits. For example, one card holder exceeded the monthly purchase limit by more than \$8,000 without evidence of authorization. Further, purchase card holders either did not use required forms or complete required forms, or both, and did not complete the appropriate documents before establishing new purchase card accounts and taking the required refresher training. Most importantly, the Department's Procurement Division did not conduct the required purchase card review following the close of each fiscal year. As a result, the Department may not have spotted illegal, improper, or erroneous purchases because it was not operating effectively—a condition that can significantly increase the risk that card holders may have violated the Federal Acquisition Regulation. OIG made eight recommendations, which the Department agreed to implement.

## AUDITS (Cont'd)

### ***Analysis of the United States Capitol Police Overtime (OIG-2017-13)***

Figure 5: Front Exterior of the United States House of Representatives Thomas P. O'Neill, Jr. Office Building.



Source: AOC.gov.

As with all law enforcement agencies, personnel salaries and overtime make up the majority of USCP's annual budget. To help ensure a secure and open environment that has ever-changing mission requirements, the Department relies on overtime. An example of changing mission requirements is that the Department recently took on protection and security of the Thomas P. O'Neill, Jr. House Office Building on June 8, 2017, as shown in Figure 5. The Department's increased use of overtime has raised congressional concerns about the efficiency of the Department's administration and management of overtime.

As a result of those concerns, OIG conducted an analysis of Department overtime data to determine whether (1) an appropriate crossover point, if any, existed where it might be more economically efficient to fill a new position rather than having existing positions work overtime and (2) which factors, if any, had an effect on overtime costs or usage. The scope of the analysis included the last full year of overtime data reported for FY 2016.

In the Department, there are no breakeven points because of always changing priorities. To eliminate controllable overtime completely, USCP would need to hire an additional 205 officers—a somewhat unrealistic scenario. And taking into consideration employee benefits and other expenses for new hires, maintaining a limited number of controllable overtime hours may be more cost effective and beneficial for the Federal Government. For example, USCP has traffic posts that require 3-hour morning shifts. According to Department officials, it is more cost effective to hold officers from the night shift than to hire new officers for such a short period.

Based on FY 2016 data, approximately 144 additional officers would be the optimal number of new hires for offsetting controllable overtime. Although the additional officers could potentially reduce controllable overtime, we believe that the increased cost of \$15.5<sup>1</sup> million over 5 years would not be cost effective. Hiring additional officers to offset overtime hours could, however, have significant benefits by increasing morale and organizational readiness.

<sup>1</sup> This calculation did not include likely additional costs such as additional locker room and break room space, parking, and administrative support needed to support the additional personnel.

## AUDITS (Cont'd)

***Analysis of the United States Capitol Police Overtime***  
**(OIG-2017-13) (Cont'd)**

For effective and efficient control, OIG made two recommendations that the Department should develop a succession plan for training other personnel to fill a key overtime monitoring position and establish clear and cogent written procedures that would allow another staff member to track and report on overtime, which the Department agreed to implement. [REDACTED]

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## ON-GOING AUDITS

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### Audit of USCP Fiscal Year 2017 Financial Statements



OIG began an audit of the Department's 2017 principal financial statements. The primary objective of the audit is to express an opinion on whether the Department's financial statements are presented fairly, in all material respects, and in conformity with accounting principles generally accepted in the United States of America. We will evaluate and report on compliance with laws and regulations related to the financial statements. We will also opine on the effectiveness of the Department's internal controls over financial reporting. The review is based on the *Standards for*

*Internal Control in the Federal Government*, issued by the Comptroller General of the United States, and is being conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants, and *Government Auditing Standards*, also issued by the Comptroller General of the United States. OIG expects to issue a report on or before December 15, 2017.

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### Fiscal Year 2017 Management Letter

The management letter—prepared by OIG—will discuss a number of internal control deficiencies identified during the audit of the FY 2017 financial statements. Those control deficiencies, if addressed, should enhance the efficiency and effectiveness of internal controls. We believe that the deficiencies, although of concern, did not rise to the level necessary to be included in the report on the financial statement audit. We will evaluate compliance during future audits of USCP financial statements. OIG expects to issue a report on or before January 31, 2018.

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### Audit of the Memorial Fund

Congress proposed amending Public Law 105-223 (section 1951, title 2 of the United States Code) after the June 14, 2017, shooting at the congressional baseball practice. Congress proposed that the amendment include amounts received in response to the shooting incident as well as proposed payments for employees sustaining serious line-of-duty injuries (*Wounded Officers Recovery Act of 2017* [H.R. 3298]). OIG initiated an audit to determine if USCP (1) properly accounted for contributions and distributions made to and from the Fund, (2) established adequate internal controls over Fund processes, and (3) complied with applicable laws and Board regulations pertaining to the management and administration of the Fund. We expect to issue the report on or before November 30, 2017.

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## ON-GOING AUDITS (Cont'd)

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### Analysis of the USCP Monitoring of Internet Usage for Waste and Abuse



Cybersecurity continues to be a high risk and of interest to USCP stakeholders. Robust monitoring of internet usage is vital to network security and operational performance of the network. During a prior audit, OIG observed that many of the websites using the most bandwidth across the USCP network did not have a legitimate work-related function. OIG initiated an analysis to determine if USCP had (1) the capabilities of monitoring internet usage and (2) the ability to identify and respond to potential waste or abuse. We expect to issue the report on or before January 31, 2018.

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### Performance Audit of the USCP Wireless Network and Devices



The use of wireless networks and wirelessly enabled devices continues to grow, and as the use of those devices increases, a need exists for robust security controls surrounding wireless networks and devices to ensure security and operational security of the network. OIG initiated a performance audit to determine if the Department (1) established adequate policies and procedures to mitigate risks associated with the use of wireless networks and devices, (2) established an effective process to account for its wireless networks and devices and physical protection of these networks

and devices from unauthorized access, (3) effectively implemented and properly configured system security controls on USCP's wireless network and selected devices, (4) actively monitored its wireless networks and devices to enforce wireless security policy, and (5) complied with applicable policies and procedures as well as applicable laws, regulations, and best practices. We expect to issue the report on or before January 31, 2018.

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## INVESTIGATIONS

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### ***Investigation into Allegations of Misconduct by a Senior Official (Investigative Number 2017-I-0006)***

OIG conducted an investigation into allegations of misconduct by a senior official in violation of USCP Directive [REDACTED] dated November 19, 2012. OIG did not find any evidence substantiating the allegations. OIG closed the inquiry on May 5, 2017.

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### ***Follow-up Analysis of United States Capitol Police Controls Over Ammunition (OIG-2017-09) (Investigative Number 2017-I-0007)***

In a previous audit, *Performance Audit United States Capitol Police Controls over Ammunition* (Report Number OIG-2014-03), dated March 2014, OIG found that USCP did not establish effective internal controls and processes for ensuring accountability of ammunition. To develop more efficient and effective controls over the accountability of ammunition in detecting and preventing fraud, waste, abuse, and mismanagement, OIG made 10 recommendations, which the Department agreed to implement. At the request of the former Office of Facilities and Logistics (OFL) office director, OIG conducted follow-up work related to the Department's implementation of recommendations contained within Report Number OIG-2014-03. Our objective was to confirm that the Department took the appropriate corrective actions and controls were operating efficiently and effectively.

[REDACTED] Our follow-up confirmed the Department implemented the recommendations appropriately. For example, the Department implemented SOP [REDACTED] which included procedures related to inventories, stock rotation, reorder points, disposal, and access control. Additionally, the Department transferred the USCP Weapon and Ammunition Program from the Training Services Bureau (TSB) Physical Skills Division to OFL Property and Asset Management Division to achieve a better segregation of duties related to its ammunition inventory. Furthermore, as of May 8, 2017, the Department had received \$22,923 for recycling the spent shell casings generated at its firing range [REDACTED]

[REDACTED] During our follow-up work, a Department employee brought a matter to our attention regarding non-USCP personnel using USCP weapons and ammunition at its Range. The Department had policies and procedures related to non-USCP entities use of its Range. Thus, OIG did not make any additional recommendations in this report.

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## INVESTIGATIONS (Cont'd)

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### ***Inquiry into Excessive Use of Mobile Devices (Investigative Number 2017-I-0008)***

OIG Audits referred an issue related to excessive use of mobile devices to OIG Investigations. The audit revealed that 11 individuals may not have complied with Directive [REDACTED] dated August 4, 2015 and Directive [REDACTED] dated October 19, 2012. OIG conducted an inquiry into one of the employee's use of mobile devices, but did not find sufficient evidence substantiating excessive use of mobile devices. As previously reported, OIG closed this inquiry on March 27, 2017 (Investigative Number 2016-I-0010.) OIG referred the remaining 10 employees to the Office of Professional Responsibility (OPR) for investigation. OPR notified OIG that the investigation of the remaining employees was closed on May 11, 2017.

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### ***Survey of the United States Capitol Police Containment Emergency Response Team (OIG-2017-12) (Investigative Number 2017-I-0009)***



In accordance with our annual plan, OIG Investigations conducted a survey of the USCP Containment Emergency Response Team (CERT). Our objectives were to (1) gain a better understanding of the CERT mission and processes and (2) identify any areas suited for future work. Our scope included policies and procedures as of June 2017.

To gain an understanding of CERT, we conducted interviews with Department officials and reviewed applicable guidance including SOPs and directives. We also reviewed the CERT budget, training lesson plans, and staffing information. We researched and reviewed information related to the history of CERT. August 10, 2017, we notified the Department that OIG would conduct a detailed assessment of CERT, which is an on-going project.

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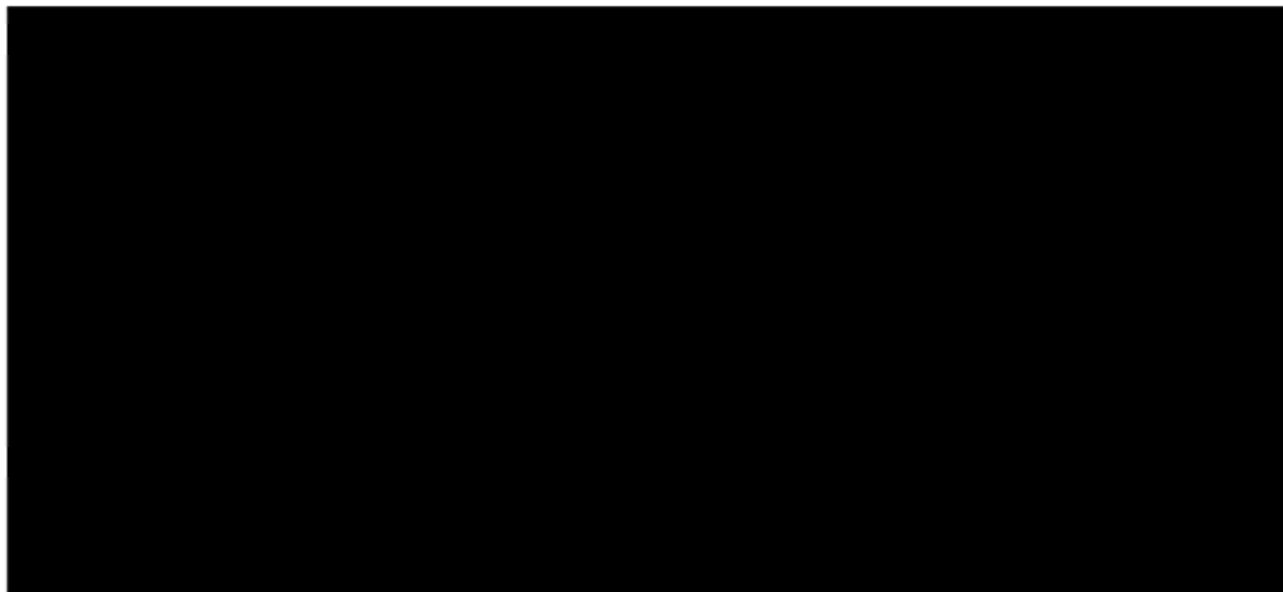
### ***Inquiry into Allegation of Waste and Mismanagement (Investigative Number 2017-I-0011)***

[REDACTED] alleged that OPR conducted unnecessary interviews, which resulted in mismanagement of USCP personnel and resources. OIG conducted an inquiry into the complaint but did not find any evidence or information substantiating the allegation of waste and mismanagement. OIG closed the inquiry September 25, 2017.

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## ON-GOING INVESTIGATIONS

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### ***Performance Audit of Specialty Pay Programs*** **(Investigative Number 2017-I-0012)**

In 2004, the Department implemented *Specialty Assignment and Proficiency Pay*. The pay flexibility permitted USCP to establish and determine positions that may be designated as specialty pay programs require proficiencies (based on experience, education, training, or other appropriate factors) that are required to carry out the duties of the position as shown in Figure 9. OIG initiated an audit of USCP Specialty Pay Programs to determine if USCP has (1) properly accounted for specialty pay programs, and (2) complied with applicable directives related to the personnel compensation programs such as eligibility requirements. OIG expects to issue our report on or before January 31, 2018.

Figure 9: Additional Security at the June 15, 2017, Congressional Baseball Game.



Source: Politico.com.

## APPENDIX 1

### REPORTS ISSUED

| <b>Report Number</b> | <b>Report Title</b>                                                                                                                 |
|----------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| <b>OIG-2017-07</b>   | <b><i>Performance Audit of the United States Capitol Police Compliance with the Federal Employees' Compensation Act</i></b>         |
| <b>OIG-2017-08</b>   | <b><i>Analysis of the United States Capitol Police Insider Threat Detection Program</i></b>                                         |
| <b>OIG-2017-09</b>   | <b><i>Follow-up Analysis of United States Capitol Police Controls Over Ammunition</i><br/>(Investigative Number 2017-I-0007)</b>    |
| <b>OIG-2017-10</b>   | <b>Modified Peer Review Report on the Federal Labor Relations Authority Office of Inspector General Audit Function</b>              |
| <b>OIG-2017-11</b>   | <b>Performance Audit of the United States Capitol Police Purchase Card Program</b>                                                  |
| <b>OIG-2017-12</b>   | <b><i>Survey of the United States Capitol Police Containment Emergency Response Team</i><br/>(Investigative Number 2017-I-0009)</b> |
| <b>OIG-2017-13</b>   | <b>Analysis of the United States Capitol Police Overtime</b>                                                                        |
| <b>Total: 7</b>      |                                                                                                                                     |

| <b>Investigative Number</b> | <b>Title</b>                                                                    |
|-----------------------------|---------------------------------------------------------------------------------|
| <b>2017-I-0006</b>          | <b><i>Investigation into Allegations of Misconduct by a Senior Official</i></b> |
| <b>2017-I-0008</b>          | <b><i>Inquiry into Excessive Use of Mobile Devices</i></b>                      |
| <b>2017-I-0011</b>          | <b><i>Inquiry into Allegation of Waste and Mismanagement</i></b>                |
|                             |                                                                                 |
|                             |                                                                                 |
| <b>Total: 3</b>             |                                                                                 |

## APPENDIX 2

### RESOLUTION OF REPORT RECOMMENDATIONS

Timely resolution of outstanding recommendations continues to be a priority for the Department as well as OIG. As of September 30, 2017, OIG made a total of 362 recommendations to the Department since OIG inception. Of those 362 recommendations, the Department has fully implemented (or closed) 312, of the remaining 50 open unimplemented recommendations, 32 of the open recommendations were older than 6 months as shown in the following table.

| Appendix 2 – Unimplemented (Open) Recommendations Older Than 6 Months                |                                                                                                                    |                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Report Number                                                                        | Report Title                                                                                                       | Recommendation Number                                                  | Recommendation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 2009-01<br>2011-01<br>2012-02<br>2013-01<br>2014-01<br>2015-01<br>2016-01<br>2017-02 | FY 2008 FS<br>FYs 2010/2009 FS<br>FY 2011 FS<br>FY 2012 FS<br>FY 2013 FS<br>FY 2014 FS<br>FY 2015 FS<br>FY 2016 FS | Repeat Finding<br>1.2<br>1.1<br>1.1<br>1.1<br>1.1<br>1.1<br>1.1        | <b>Payroll Processing</b> – We recommended USCP consistently implement T&A [time and attendance] certification policies and procedures and that timekeepers review all certification reports to ensure that employees and supervisors sign and date reports. (1) USCP management needs to enforce the revised policies and procedures in Special Directive [REDACTED], (2) we also recommended that the supervisor/timekeeper procedures for monitoring T&A include identifying habitual failures in submitting certification reports so that corrective actions can be targeted to those employees, and (3) we recommended that USCP evaluate the feasibility of using [REDACTED] a T&A system, to automate the T&A Certification process.<br>[REDACTED] |
| 2009-01<br>2011-01<br>2012-02<br>2013-01<br>2014-01<br>2015-01<br>2016-01<br>2017-02 | FY 2008 FS<br>FYs 2010/2009 FS FY<br>2011 FS<br>FY 2012 FS<br>FY 2013 FS<br>FY 2014 FS<br>FY 2015 FS<br>FY 2016 FS | Repeat Finding<br>1.6<br>1.4<br>1.3<br>1.3<br>1.3<br>1.3<br>1.2<br>1.2 | <b>Payroll Processing</b> – As in the prior year's recommendation, USCP should perform monthly reconciliation between the National Finance Center (NFC) and [REDACTED] to ensure leave balance information is correctly reported in both systems. We also recommended that the Office of Human Resources (OHR) implement corrective actions in a timely manner.<br>[REDACTED]                                                                                                                                                                                                                                                                                                                                                                             |
| 2009-01<br>2011-01<br>2012-02<br>2013-01<br>2014-01<br>2015-01<br>2016-01<br>2017-02 | FY 2008 FS<br>FYs 2010/2009 FS FY<br>2011 FS<br>FY 2012 FS<br>FY 2013 FS<br>FY 2014 FS<br>FY 2015 FS<br>FY 2016 FS | Repeat Finding<br>1.9<br>1.6<br>1.4<br>1.4<br>1.4<br>1.4<br>1.3<br>1.3 | <b>Payroll Processing</b> – We recommended that USCP ensure that its employees comply with USCP Special Directive [REDACTED] addressing responsibility to record daily worked hours using [REDACTED] time clocks. We also recommended that USCP enhance its procedures for monitoring employees who show a pattern of not clocking in or out and verify if hours entered in [REDACTED] are actual hours the employees worked.<br>[REDACTED]                                                                                                                                                                                                                                                                                                               |
| 2016-01<br>2017-02                                                                   | FY 2015 FS<br>FY 2016 FS                                                                                           | Repeat Finding<br>6<br>1.6                                             | <b>Financial Management</b> – We recommended that USCP enforce its policy to deobligate inactive contract obligations timely in accordance with the FAR. USCP evaluates the design and effectiveness of its internal control over the deobligation process to ensure timely deobligation.<br>[REDACTED]                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

| Appendix 2 – Unimplemented (Open) Recommendations Older Than 6 Months |                                                                                                       |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|-----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Report Number                                                         | Report Title                                                                                          | Recommendation Number | Recommendation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 2008-08                                                               | Review of Compliance with United States Capitol Police Hiring Standards and with Directive [REDACTED] | 1                     | <p><b>Triple III</b> – We recommended that USCP in coordination with the Board, update its hiring standards and establish a comprehensive hiring directive outlining the Department's vision and goals to create a talented and committed workforce, to support an effective organizational culture, and enhance the overall performance of the USCP.</p> <p><b>Repeat Finding:</b> Recommendation 1: [REDACTED] Directive [REDACTED] –</p> <p>OIG-2017-04 [REDACTED]</p>                                                                                                                                                                                                                                               |
| [REDACTED]                                                            | [REDACTED]                                                                                            | [REDACTED]            | [REDACTED]                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 2015-05                                                               | Performance Audit of United States Capitol Police Controls Over Proximity Cards                       | 1                     | <p><b>Proximity Cards</b> – We recommended that USCP update OHR policies and procedures related to proximity cards and require that the SSB remove access clearances for separated employees when notified by the OHR badging office. Policies should specifically state a required timeframe for OHR communication and SSB access removal.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                                                                                                                       |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                 | 1                     | <p><b>Restricted Duty</b> – We recommended that USCP immediately update and implement its draft guidance for restricted duty to include updated information about organization elements and determine whether civilians should be beneficiaries of such a program in both Performance of Duty and Non-Performance of Duty cases.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                                                                                                                                  |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                 | 2                     | <p><b>Restricted Duty</b> – We recommended that USCP immediately implement its draft guidance for restricted duty to ensure more transparency and accountability of its program by requiring one individual to be held accountable for the controls and compliance of the restricted duty program, which will assist in ensuring compliance and the integrity of the program. The draft guidance should specifically provide the OHR, restricted duty program manager, the authority to monitor the restricted duty program, ensuring that employees submit complete forms and appropriate medical documentation, and coordinating employees return to full unrestricted duty in a timely manner.</p> <p>[REDACTED]</p> |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                 | 4                     | <p><b>Restricted Duty</b> – We recommended that USCP consider leave balances, multiple restricted duty assignments, and outside employment when assigning employees to restricted duty status. Furthermore, the Department should ensure that OHR receive restricted duty documentation to support assignments and provides consistent monitoring and employee enforcement of compliance with the program, which would identify any abusers of the program.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                       |

| Appendix 2 – Unimplemented (Open) Recommendations Older Than 6 Months |                                                                                                                    |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Report Number                                                         | Report Title                                                                                                       | Recommendation Number | Recommendation                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                              | 5                     | <p><b>Restricted Duty</b> – We recommended that USCP immediately identify restricted duty positions throughout the Department and document duties associated with such positions, ensuring that the number of employees in restricted duty status does not exceed the number of available positions/assignments and employees are held accountable for performing meaningful and constructive tasks for the organization.</p> <p>[REDACTED]</p>                    |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                              | 6                     | <p><b>Restricted Duty</b> – We recommended that USCP establish a consistent process, which includes supporting evidence for revoking and restoring police powers and that such documentation is placed in the employee's personnel file.</p> <p>[REDACTED]</p>                                                                                                                                                                                                     |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                              | 7                     | <p><b>Restricted Duty</b> – We recommended that USCP consider reducing the maximum length of restricted duty assignments, ensuring compliance with established time requirements, and eliminating Non-Performance of Duty injury cases from the restricted duty program, which could potentially result in cost saving to the organization.</p> <p>[REDACTED]</p>                                                                                                  |
| 2015-08                                                               | Applying Agreed-Upon Procedures: United States Capitol Police Restricted Duty Program                              | 8                     | <p><b>Restricted Duty</b> – We recommended that USCP implement consistent monitoring and enforcement of employee compliance with restricted duty guidance, which should assist in identifying potential misuse or abuse of the program.</p> <p>[REDACTED]</p>                                                                                                                                                                                                      |
| 2015-09                                                               | Independent Accountants' Report on Agreed-Upon Procedures of United States Capitol Police Leave Restriction Status | 1                     | <p><b>Leave Restriction Status</b> – We recommended that USCP complete its review of guidance related to Leave Restriction Status, make necessary updates, and reissue in the proper format to provide adequate instructions for Leave Restriction Status as it relates to both employees and supervisors.</p> <p>[REDACTED]</p>                                                                                                                                   |
| 2015-09                                                               | Independent Accountants' Report on Agreed-Upon Procedures of United States Capitol Police Leave Restriction Status | 3                     | <p><b>Leave Restriction Status</b> – We recommended that USCP include a formal timeline requirement that OHR respond within 10 business days to a request for employee placement into a Leave Restriction Status.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                            |
| 2015-09                                                               | Independent Accountants' Report on Agreed-Upon Procedures of United States Capitol Police Leave Restriction Status | 5                     | <p><b>Leave Restriction Status</b> – We recommended that USCP consider adding progressive discipline for anyone with a continuing pattern of leave abuse such as when an employee or officer is in Leave Restriction Status, that individual is not eligible for promotion or officer-requested transfers. This would serve as a leave abuse deterrent and help avoid patterns going undetected, especially when officers request transfers.</p> <p>[REDACTED]</p> |

| Appendix 2 – Unimplemented (Open) Recommendations Older Than 6 Months |                                                                                                  |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Report Number                                                         | Report Title                                                                                     | Recommendation Number | Recommendation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 2016-04                                                               | Evaluation of the United States Capitol Police Division of Intelligence and Information Analysis | 1                     | <p><b>Intelligence</b> – We recommended that USCP update and formalize standard operating procedures for the Division of Intelligence and Information Analysis addressing all of its Sections including threat assessments, the "Open Source Newsletter," and security clearances.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                                                                           |
| 2016-04                                                               | Evaluation of the United States Capitol Police Division of Intelligence and Information Analysis | 4                     | <p><b>Intelligence</b> – We recommended that USCP (1) establish strategic intelligence priorities and use them to inform analytic activities and (2) establish mechanisms for evaluating workforce initiatives and use results to determine any needed changes.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                                                                                              |
| 2016-07                                                               | Performance Audit of the United States Capitol Police Training Services Bureau                   | 1                     | <p><b>TSB</b> – We recommended that USCP establish a policy directed toward operation of the Department and the Training Services Bureau, which includes reviewing, updating and approving all training documents, including the <i>Academy Rules and Training Standards</i> on a periodic basis, or more frequently as needed.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                              |
| 2016-07                                                               | Performance Audit of the United States Capitol Police Training Services Bureau                   | 3                     | <p><b>TSB</b> – We recommended that USCP update or establish the review and approval process for in-service training for its SOPs by clearly identifying training components and clarifying the responsible component for conducting training, and the frequency of training. If the Training Services Bureau is determined to be the provider of the training, the SOPs should include the Training Services Bureau in the review and approval process.</p> <p>[REDACTED]</p>                                                                                                                                     |
| 2016-07                                                               | Performance Audit of the United States Capitol Police Training Services Bureau                   | 5                     | <p><b>TSB</b> – We recommended that USCP immediately update Directive [REDACTED], dated October 19, 2012, or establish a new directive, which provides a listing of in-service training requirements and the frequency with which such training should occur. Guidance would provide for review and approval by the Chief of Police of an annual training plan with a long-term outlook, which could assist in achieving a vigorous and motivated workforce that is highly trained and armed with the necessary knowledge, skills, and abilities to achieve the Department mission.</p> <p>[REDACTED]</p>          |
| 2016-10                                                               | Performance Audit of the United States Capitol Police Mobile Device Program                      | 1                     | <p><b>Information Technology</b> – We recommended that USCP Office of Information Systems develop and fully implement policies and procedures to update mobile devices with the latest available patches, Bluetooth technology in accordance with best practices, and scanning systems and applications. The Office of Information Systems should further update its security assessment policies and procedures for mobile devices to reflect the use of current operating controls ensuring the System Security Plan meets the minimum-security requirements for mobile device management.</p> <p>[REDACTED]</p> |

| Appendix 2 – Unimplemented (Open) Recommendations Older Than 6 Months |                                                                                                  |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Report Number                                                         | Report Title                                                                                     | Recommendation Number | Recommendation                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 2017-01                                                               | Evaluation of the United States Capitol Police Disciplinary Process                              | 1                     | COP – We recommended that USCP immediately update and formalize its policies and procedures on Discipline in order to equip employees with the knowledge that will ensure compliance.<br>[REDACTED]                                                                                                                                                                                                                                         |
| 2017-01                                                               | Evaluation of the United States Capitol Police Disciplinary Process                              | 2                     | COP – We recommended that USCP provide annual training to managers related to correcting employee behavior through the performance or the misconduct adverse action systems, which have different standards and requirements.<br>[REDACTED]                                                                                                                                                                                                 |
| 2017-01                                                               | Evaluation of the United States Capitol Police Disciplinary Process                              | 6                     | COP – We recommended that USCP, in coordination with the Board and Oversight Committees, formalize the Disciplinary Review Task Force into a permanent office for discipline, providing a streamlined clearinghouse for all disciplinary matters and oversight of the discipline process while ensuring fairness and accountability.<br>[REDACTED]                                                                                          |
| 2017-05                                                               | Performance Audit of United States Capitol Police Compliance with Employee Performance Standards | 1                     | <b>Performance Standards</b> – We recommended that USCP update the Directives [REDACTED] dated May 28, 2012; and [REDACTED] dated May 28, 2012, to include controls for issuance, completion, monitoring, and documentation that will ensure accountability of the appraisal program. Monitoring should include a requirement for periodic reports to Commanders and Office Directors on the status of incomplete appraisals.<br>[REDACTED] |
| 2017-05                                                               | Performance Audit of United States Capitol Police Compliance with Employee Performance Standards | 2                     | <b>Performance Standards</b> – We recommended that USCP hold supervisors accountable for timely completion of performance plans and feedback to subordinates and document such efforts in annual appraisals for supervisors, thereby, ensuring accountability and compliance with directives.<br>[REDACTED]                                                                                                                                 |
| 2017-05                                                               | Performance Audit of United States Capitol Police Compliance with Employee Performance Standards | 3                     | <b>Performance Standards</b> – We recommended that OHR use [REDACTED] to enhance monitoring, reporting, and documenting of employee appraisals.<br>[REDACTED]                                                                                                                                                                                                                                                                               |

| Appendix 2 – Unimplemented (Open) Recommendations Older Than 6 Months |                                                                               |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|-----------------------------------------------------------------------|-------------------------------------------------------------------------------|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Report Number                                                         | Report Title                                                                  | Recommendation Number | Recommendation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 2017-06                                                               | Analysis of the United States Capitol Police Backup and Recovery Capabilities | 3                     | <p><b>Information Technology</b> – We recommended that USCP define Department-level mission essential functions. Once Department-level mission essential functions are established, we recommend each bureau align business priorities that satisfy mission essential function requirements. USCP should also develop Department-level a continuity of operations plan (CONOPS). Once the Department approves such a plan, each bureau must ensure that its plan aligns with the Department requirements.</p> <p>[REDACTED]</p> |
| 2017-06                                                               | Analysis of the United States Capitol Police Backup and Recovery Capabilities | 4                     | <p><b>Information Technology</b> – We recommended that USCP revise its [REDACTED], dated March 25, 2016, to eliminate confusion regarding OIS responsibility for Department-wide CONOPS.</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                                                                                  |
| 2017-06                                                               | Analysis of the United States Capitol Police Backup and Recovery Capabilities | 5                     | <p><b>Information Technology</b> – We recommended that USCP establish a Memorandum of Understanding regarding the [REDACTED]</p> <p>[REDACTED]</p>                                                                                                                                                                                                                                                                                                                                                                              |

Source: OIG generated from its Audit Recommendation Tracking System (ARTS).

## RECOMMENDATION RESOLUTION PROCESS

*OIG Resolution Process and Terminology* requires written comments on the draft report and response to each recommendation made and whether the Department agrees or disagrees with each of the recommendations. In the initial response to the draft report, OIG does not require detailed explanations of implementation efforts. The Department comments to the draft report are included in their entirety in the final report as an appendix.

When the final report is transmitted, OIG requests responses to the report and information on actions taken or planned on each of the recommendations directed within 45 days of the date of the memorandum. Actions taken or planned are subject to OIG follow-up and reporting in accordance with resolution procedures. OIG tracks the Department response on all recommendations through its Audit Resolution Tracking System. **As of September 30, 2017, USCP did not have any outstanding reports for which there was no “establishment comment.”**

See Appendix 2, Table 1, and Table 2 for unimplemented recommendations older than 6 months, report recommendations with questioned and unsupported costs, and report recommendations with funds put to better use, respectively.

## REPORT RESTRICTION LANGUAGE

~~USCP OIG reports are in part, or whole, considered "Security Information" as defined by 2 USC § 1979 (a). Release of this information is governed by section 1979, title 2 of the United States Code (U.S.C. § 1979), applicable Capitol Police Board regulations, policies and procedures. This information must not be furnished outside of those entities that have a law enforcement need to know the information in the report.~~

~~USCP reports may contain sensitive law enforcement information and/or is part of the deliberative process privilege and disclosure could jeopardize law enforcement operations and may have national security implications.~~

*The Freedom of Information Act also explicitly excludes Congress (5 U.S.C. 551.)*



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## **APPENDIX 3**

### **PEER REVIEW REPORTING**

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#### **Inspector General Peer Review Activity**

##### **April 1, 2017 – September 30, 2017**

##### **External Peer Review Requirement**

The audit organization should obtain an external peer review at least once every 3 years that is sufficient in scope to provide a reasonable basis for determining whether, for the period under review, the reviewed audit organization's system of quality control was suitably designed and whether the audit organization is complying with its quality control system in order to provide the audit organization with reasonable assurance of conforming with applicable professional standards (GAS 3.96) as *Government Auditing Standards* issued by the Comptroller General of the United States require.

##### **Peer Review of USCP OIG Audit Organization**



As part of the 3-year cycle of independent peer reviews, on March 16, 2017, the Election Assistance Commission (EAC) completed its external peer review of USCP OIG Audit Organization for the period ended September 30, 2016. USCP OIG received a rating of pass with no comments. Most importantly, such a rating provides our stakeholders with an additional comfort level upon which our audit work can be relied and used.

CIGIE has scheduled the Appalachian Regional Commission OIG to conduct our next external peer review of USCP's OIG Audit Organization for the period ended September 30, 2019.

##### **Peer Review Conducted by USCP OIG Audit Organization**



CIGIE scheduled USCP OIG to conduct the external peer review of the Federal Labor Relations Authority (FLRA) for the period ended March 31, 2017. USCP OIG issued its last Reviewing Inspector General Report on June 30, 2017, to FLRA OIG.

# Table 1

## Reports Issued with Questioned Costs<sup>2</sup> April 1, 2017, through September 30, 2017

| Category                                                                                   | (Dollars in Thousands) |                  |                   |
|--------------------------------------------------------------------------------------------|------------------------|------------------|-------------------|
|                                                                                            | No. of Reports         | Questioned Costs | Unsupported Costs |
| For which no management decision has been made by the commencement of the reporting period | 0                      | \$0              | \$0               |
| Which were issued during the reporting period                                              | 1                      | \$137,284        | \$65,106          |
| <b>Subtotal</b>                                                                            | 1                      | \$137,284        | \$65,106          |
| For which a management decision was made during the reporting period                       |                        |                  |                   |
| • dollar value of disallowed costs                                                         | 0                      | \$0              | \$0               |
| • dollar value of cost not disallowed                                                      | 0                      | \$0              | \$0               |
| For which no management decision has been made by the end of the reporting period          | 1                      | \$137,284        | \$65,106          |
| Reports for which no management decision was made within 6 months of issuance              | 0                      | \$0              | \$0               |

Source: OIG generated from ARTS.

Amounts rounded to nearest thousand.

<sup>2</sup> A "questioned cost" denotes that one or more of the following three situations exist: (1) an alleged violation of a provision of a law, regulation, contract, grant, cooperative agreement, other agreement, or document governing the expenditure of funds; (2) a finding that, at the time of the audit, such cost is not supported by adequate documentation; or (3) a finding that the expenditure of funds for the intended purpose is unnecessary or unreasonable.

## Table 2

### **Reports Issued with Recommendations that Funds Be Put to Better Use<sup>3</sup> April 1, 2017, through September 30, 2017**

| Category                                                                                                                                                                                                                                          | Number of Reports | Dollar Value (in thousands) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------|
| For which no management decision has been made by the commencement of the reporting period                                                                                                                                                        | 2                 | \$2,036                     |
| Which were issued during the reporting period                                                                                                                                                                                                     | 0                 | \$0                         |
| <b>Subtotal</b>                                                                                                                                                                                                                                   | 2                 | \$2,036                     |
| For which management decision was made during the reporting period                                                                                                                                                                                | 1                 | \$36                        |
| <ul style="list-style-type: none"><li>dollar value of recommendations that were agreed to by management<ul style="list-style-type: none"><li>based on proposed management action</li><li>based on proposed legislative action</li></ul></li></ul> | 1                 | \$36                        |
| <ul style="list-style-type: none"><li>dollar value of recommendations that were not agreed to by management</li></ul>                                                                                                                             | 0                 | \$0                         |
| For which no management decision has been made by the end of the reporting period                                                                                                                                                                 | 1                 | \$2,000                     |
| Reports for which no management decision was made within 6 months of issuance                                                                                                                                                                     | 1                 | \$2,000                     |

Source: OIG generated from ARTS.  
Amounts rounded to nearest thousand.

<sup>3</sup> A recommendation that funds be put to better use is a recommendation by OIG that funds could be used more efficiently if Department management took actions to implement and complete the recommendations, including: reductions in outlays, deobligation of funds from programs or operations; withdrawal of interest subsidy costs on loans or loan guarantees, insurance, or bonds; costs not incurred by implementing recommended improvements related to the operations of the Department, a contractor, or a grantee, avoidance of unnecessary expenditures noted in preaward reviews of contract or grant agreements; or any other savings which are specifically identified.

## **Table 3**

### **Investigations Statistics** April 1, 2017, through September 30, 2017

|                                                                                                 | Dollar Value<br>(in thousands) |
|-------------------------------------------------------------------------------------------------|--------------------------------|
| Recoveries                                                                                      | \$0                            |
| Fines and Restitutions                                                                          | \$0                            |
| Investigative Cases Opened                                                                      | 1                              |
| Investigative Cases Closed                                                                      | 3                              |
| (Surveys, Analysis, Assessments, Evaluations, Inspections, and Internal Control Reviews) Opened | 4                              |
| (Surveys, Analysis, Assessments, Evaluations, Inspections, and Internal Control Reviews) Closed | 2                              |
| Arrests                                                                                         | 0                              |
| Indictments                                                                                     | 0                              |
| Convictions                                                                                     | 0                              |
| Personnel Actions                                                                               | 0                              |
| Total Complaints Received                                                                       | 53                             |
| Hotline Complaints Received                                                                     | 53                             |
| Complaints from Other Sources                                                                   | 0                              |
| Complaints Referred (to the Department or other agencies)                                       | 14                             |
| Inspector General Subpoenas                                                                     | 0                              |
| Referrals to Prosecuting Authorities & Indictments from Prior Referrals                         | 0                              |

Source: OIG generated from its Investigative Case Tracking System.



### **OIG Hotline** **(1-866-906-2446)**

As part of its duties and responsibilities, OIG maintains a toll-free Hotline that provides employees and private citizens an opportunity to relay information regarding fraud, waste, and abuse.

## IG Act Reporting Requirements

| <b>IG Act Section</b> | <b>IG Act Requirement</b>                                                                                                                                                                                                                                                                                                                                                                                                             | <b>Page</b>      |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| Section 4(a)(2)       | Review of Legislation and Regulations                                                                                                                                                                                                                                                                                                                                                                                                 | 3                |
| Section 5(a)(1)       | Significant Problems, Abuses, and Deficiencies                                                                                                                                                                                                                                                                                                                                                                                        | 8-13<br>16-17    |
| Section 5(a)(2)       | Recommendations for Corrective Action Made During the Reporting Period with Respect to Problems, Abuses, and Deficiencies                                                                                                                                                                                                                                                                                                             | 8-13<br>16-17    |
| Section 5(a)(3)       | Significant Recommendations Included in Previous Semiannual Reports on Which Corrective Action Has Not Been Completed                                                                                                                                                                                                                                                                                                                 | 20-25            |
| Section 5(a)(4)       | Matters Referred to Prosecuting Authorities and Prosecutions and Convictions which Resulted                                                                                                                                                                                                                                                                                                                                           | None             |
| Section 5 (a)(5)      | Summary of Reports Issued to the Head of the Establishment During the Reporting Period                                                                                                                                                                                                                                                                                                                                                | 8-13<br>16-17    |
| Section 5(a)(6)       | Reports Issued During the Reporting Period                                                                                                                                                                                                                                                                                                                                                                                            | 19               |
| Section 5(a)(7)       | Summary of Particularly Significant Reports                                                                                                                                                                                                                                                                                                                                                                                           | 8-13<br>16-17    |
| Section 5(a)(8)       | Questioned and Unsupported Costs (Statistical Table 1)                                                                                                                                                                                                                                                                                                                                                                                | 28               |
| Section 5(a)(9)       | Recommendations that Funds be put to Better Use (Statistical Table 2)                                                                                                                                                                                                                                                                                                                                                                 | 29               |
| Section 5(a)(10)      | Summary of Reports Issued before the commencement of the Reporting Period (A) for which no Management Decision has been made by the end of the Reporting Period; (B) for which no establishment comment was returned within 60 days of providing the report to the establishment; and (C) for which there are any outstanding unimplemented recommendations, including the aggregate potential cost savings of those recommendations. | 28<br>None<br>29 |
| Section 5(a)(11)      | Significant Revised Management Decisions made during the Reporting Period                                                                                                                                                                                                                                                                                                                                                             | None             |

| <b>IG Act<br/>Section</b> | <b>IG Act<br/>Requirement</b>                                                                                                                                                                                                                                                                                                                             | <b>Page</b> |
|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Section 5(a)(12)          | Management Decisions with which the Inspector General is in Disagreement                                                                                                                                                                                                                                                                                  | None        |
| Section 5(a)(13)          | Information Described Under Section 804(b) of the Federal Financial Management Improvement Act of 1996<br>(Specifically excluded by Public Law 109-55.)                                                                                                                                                                                                   | N/A         |
| Section 5(a)(14-16)       | Peer Review Reporting Requirements                                                                                                                                                                                                                                                                                                                        | 27          |
| Section 5(a)(17-18)       | Statistical Tables and Metrics showing (A) Total Number of Issued Investigative Reports during the reporting period, (B and C) Referrals to Prosecuting Authorities for Criminal Prosecution, and (D) Indictments from Prior Referrals                                                                                                                    | 30          |
| Section 5(a)(19)          | Investigations Involving a Senior Government Employee where Allegations of Misconduct were Substantiated                                                                                                                                                                                                                                                  | None        |
| Section 5(a)(20)          | Descriptions of Whistleblower Retaliation Investigations Closed and Not Disclosed to the Public<br>(Whistleblower Protection Act protections are part of U.S. Merit Systems Protection Board authorities that do not apply to the Legislative Branch.)                                                                                                    | N/A         |
| Section 5(a)(21)          | Attempts by the Agency to Interfere with IG Independence                                                                                                                                                                                                                                                                                                  | None        |
| Section 5(a)(22)          | Description of circumstances of; (A) inspection, evaluation, and audit conducted and not disclosed to the public, and (B) investigation conducted involving a senior Government employee that was not disclosed to the public. (Release of information governed by 2 USC § 1979 applicable to Capitol Police Board regulations, policies and procedures.) | N/A         |

# Working Relationship Principles for Agencies and Offices of Inspectors General

The *Inspector General Act* establishes for most agencies an OIG and sets out its mission, responsibilities, and authority. The unique nature of the IG function can present a number of challenges for establishing and maintaining effective working relationships. To work most effectively together, the agency and its OIG need to clearly define what each considers a productive relationship and then consciously manage toward that goal in an atmosphere of mutual respect.

By providing objective information for promoting Government management, decision-making, and accountability, OIG contributes to the agency's success. OIG is an agent of positive change, focusing on eliminating waste, fraud, and abuse, and on identifying problems and recommendations for corrective actions by agency leadership. OIG provides the agency, Board, and Congress with objective assessments of opportunities to be more successful. Although not under the direct supervision of the Chief, OIG must keep the Board and Congress fully and currently informed of significant OIG activities. Given the complexity of management and policy issues, OIG and the agency may sometimes disagree on the extent of a problem and the need for and scope of corrective action. However, such disagreements should not cause the relationship between OIG and the agency to become unproductive. The following working relationship principles provide some guidance for agencies and OIGs. **To work together most effectively, OIG and the agency should strive to:**

**Foster open communications at all levels.** The agency will promptly respond to OIG requests for information to facilitate OIG activities and acknowledge challenges that OIG can help address. Surprises are to be avoided. With limited exceptions, primarily related to investigations, OIG should keep the agency advised of its work and its findings on a timely basis, and strive to provide information helpful to the agency at the earliest possible stage.

**Interact with professionalism and mutual respect.** Each party should always act in good faith and presume the same from the other. Both parties share, as a common goal, the successful accomplishment of the agency's mission.

**Recognize and respect the mission and priorities of the agency and OIG.** The agency should recognize OIG's independent role in carrying out its mission within the agency, while recognizing the responsibility of OIG to report both to Congress and to the agency head. OIG should work to carry out its functions with a minimum of disruption to the primary work of the agency. The agency should allow OIG timely access to agency records and other materials.

**Be thorough, objective, and fair.** OIG must perform its work thoroughly, objectively, and with consideration to the agency's point of view. When responding, the agency will objectively consider differing opinions and means of improving operations. Both sides will recognize successes in addressing management challenges.

**Be engaged.** OIG and agency management will work cooperatively in identifying the most important areas for OIG work, as well as the best means of addressing the results of that work, while maintaining OIG's statutory independence of operation. In addition, agencies need to recognize that OIG also will need to carry out work that is congressionally requested, mandated by law, or self-initiated.

**Be knowledgeable.** OIG will continually strive to keep abreast of agency programs and operations, and agency management will be kept informed of OIG activities and concerns being raised in the course of OIG work. Agencies will keep OIG up to date on current matters and events.

**Provide feedback.** The agency and OIG should implement mechanisms, both formal and informal, that will ensure prompt and regular feedback.

## 2017 CONGRESSIONAL BALL GAMES

As shown in Figures 10 and 11, Capitol Police Special Agents David Bailey and Crystal Griner, who were both injured at the June 14, 2017 shooting at the Republican baseball practice, throw out the first pitch at the Congressional Baseball Game on June 15, 2017, and the Congressional Women's Softball Game on June 21, 2017, respectively. Figures 12, 13, and 14, respectively, show the Congressional Ball Games.

Figure 10: Capitol Police Special Agent David Bailey throws first pitch at the Congressional Baseball Game.



Source: Politico.com.

Figure 11: Capitol Police Special Agent Crystal Griner throws first pitch at the Congressional Women's Softball Game.



Source: Politico.com.

Figure 12: Congressional Baseball Game, June 15, 2017.



Source: Associated Press photograph.

Figure 13: Congressional Women's Softball Game, June 21, 2017.



Source: Associated Press photograph.

Figure 14: Congressional Baseball Game Prayer, June 15, 2017.



Source: Floridatoday.com.



United States  
of America

# Congressional Record

PROCEEDINGS AND DEBATES OF THE 115<sup>th</sup> CONGRESS, FIRST SESSION

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No. 107

## House of Representatives NOT ON MY WATCH IN HONOR OF SPECIAL AGENTS OFFICERS CRYSTAL GRINER AND DAVID BAILEY AND THEIR COURAGEOUS ACTS OF VALOR SAVING LIVES THE HONORABLE PETE SESSIONS OF TEXAS IN THE HOUSE OF REPRESENTATIVES

Thursday, June 22, 2017

MR. SESSIONS. Mr. Speaker, I rise today in honor of the selfless acts of courage displayed by Special Agents Crystal Griner and David Bailey of The United States Capitol Police, saving the lives of numerous members of Congress and their staffs. Our thoughts and prayers go out to all of the wounded, and Congressman Steve Scalise and Matt Mika and their families who are fighting for their lives. I ask that this poem penned by Albert Carey Caswell, in honor of them be placed in the RECORD.

### NOT ON MY WATCH

by Albert Carey Caswell

They awake each new day...  
With a hug and kiss, saying goodbye to their loved ones out on their way...  
As to themselves "NOT ON MY WATCH" they say...  
No one is going to hurt you this day...  
Putting on a bullet proof vest, while strapping a holster and gun to their chest...  
To watch over us all to bless, moving out to serve and protect...  
"NOT ON MY WATCH"  
Not knowing what evil to expect... or if this will be their last day, yet...  
While, all in the midst of hell... must find the strength to make their hearts swell...  
Living by a noble code and creed, to watch over us you and me...  
It's all in a Police Officer's day...  
Saying, "NOT ON MY WATCH" all at speed...  
To vanquish evil's darkest of needs...  
Ready, to lay down their own lives if need be...  
Standing on that thin blue line, where death lies close all the time...  
When, who lives and dies... and see's another sunrise...  
So all depends on you, relies...  
And on that fateful morning in June...  
When, two Capitol Police Officers went deep... stood true...  
Who, against all odds... just like Gods kept their oath through and through...  
Out gunned, who from the face of death would not run...  
All in their stride, stood tall in the morning sun...  
Until, they vanquished what this dark evil had begun...  
Thank you Officers Griner and Bailey, as you have blessed everyone...  
But, "hate is hard... and it makes me cry"  
When, I see those tears in the wounded loved ones eyes...  
But, remember... the darkness is no match for the light...  
The kind that on this day in Griner's and Bailey's hearts burned bright...  
GOODNESS... EVIL... DARKNESS... LIGHT...  
THOSE BRAVE HEARTS WHO EVIL MUST FIGHT...  
WHO BRING THE LIGHT...  
TOGETHER ENJOINED... AS WE BATTLE ON INTO THE DARKEST OF NIGHTS...  
"NOT ON MY WATCH"  
THOSE BRAVE HEARTS WHO EVIL MUST FIGHT...  
YOU BRING THE LIGHT

To The  
Inspector General  
Fay Ropella

*[Handwritten signature]*

PROCEEDINGS AND DEBATES OF THE 115<sup>th</sup> CONGRESS, FIRST SESSION

Source: Albert "Bert" Caswell.

## **CONTACTING THE OFFICE OF INSPECTOR GENERAL**

Success of the OIG mission to prevent fraud, waste, abuse, or mismanagement depends on the cooperation of employees and the public. There are several ways to report questionable activity.

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Call us at 202-593-3868 or toll-free at 866-906-2446. A confidential or anonymous message can be left 24 hours a day/7 days a week.



Toll-Free  
1-866-906-2446

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Write us – we are located at:  
*United States Capitol Police*  
*Attn: Office of Inspector General, Investigations*  
*119 D Street, NE*  
*Washington, DC 20510*



Or visit us – we are located at:  
*499 South Capitol Street, SW, Suite 345*  
*Washington, DC 20003*



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You can also contact us by email at: [OIG@USCP.GOV](mailto:OIG@USCP.GOV)

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**When making a report, convey as much information as possible such as:  
Who? What? Where? When? Why? Complaints may be made anonymously or you may request confidentiality.**

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### **Additional Information and Copies:**

To obtain additional copies of this report, call OIG at 202-593-4201.

